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1. Your reference

P.90389 JGL

2. Patent application number

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14 NOV 2003

0326626.9

3. Full name, address and postcode of the or of each applicant (underline all surnames)FileWave (International) Holding AG
St. Gallerstrasse 1
CH-9500 Willisau
Switzerland

Patents ADP number (if you know it) 08753675001

CH

If the applicant is a corporate body, give the country/state of its incorporation

4. Title of the invention

A METHOD IN A NETWORK OF THE DELIVERY OF FILES

5. Name of your agent (if you have one)

J. A. KEMP & CO.

"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)

14 South Square
Gray's Inn
London
WC1R 5JJ

Patents ADP number (if you know it)

00000026001

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8. Is a Patents Form 7/77 (Statement of inventorship and of right to grant of a patent) required in support of this request?

YES

- Answer YES if:
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Patents Form 1/77

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Continuation sheets of this form

Description	9
Claim(s)	3
Abstract	1
Drawing(s)	5 T

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Priority documents

Translations of priority documents

Statement of inventorship and right to grant of a patent (Patents Form 7/77)

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Any other documents (please specify)

11. I/We request the grant of a patent on the basis of this application.

Signature(s)



Date 14 NOV 2003

J.A. KEMP & CO.

12. Name, daytime telephone number and e-mail address, if any, of person to contact in the United Kingdom

LEEMING, John Gerard
020 7405 3292

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A method in a network of the delivery of files

Background of Invention

- 5 The present invention relates to a method in a network of the delivery of files from a server computer to a client computer.
- 10 Managing software on a large network of computers is a daunting task. Software updates are frequently needed to fix bugs or security leaks in existing software installations. Installing these updates requires people to walk to each computer and run an installation program. When a member of the IT Staff performs the installation during normal business hours, the employee user of the computer loses valuable production time waiting for this installation to occur. To avoid this scenario, the IT Staff member might work an overtime shift at night or on a weekend to install the updates. Worst case is outside contract help is employed to run the installation at a significant cost. Once the software is installed, it is subject to malicious manipulation either by the user or external forces therefore rendering the installation worthless. The only means to return the software back to the original installed state is to re-install the software.
- 15 Installing software on a large network of computers requires a large number of resources. First the IT Department must start the rollout. This can be expensive with employees working overtime hours or employing outside contractors. The users of the computers are interrupted from their jobs if the rollout is during normal business hours. Once the software is installed any future updates have to be planned and rolled out again. An automated tool to deliver and manage the software after installation could save IT Department immense time and money.
- 20 Software applications often need to be updated multiple times per year. Often times, IT Departments will neglect to install these updates for fear of breaking a system that may already be working. In many cases this behavior can lead to serious problems. Computers might be compromised because a security patch wasn't installed or a fatal crash bug at a production deadline can cause a company to lose hundreds of thousands of dollars in lost production time. All of these problems could be solved with an automated software distribution tool that employs scheduled software upgrades with the ability to schedule a rollback to a prior version.

Perhaps the most common method of installation is non-automated. Many enterprises still rely on manual installations by visiting each computer and running an installation program.

5 Description of the Related Art

There are applications that perform automated software distribution available in the market today. However, most of these application focus on the support for only one computing platform. If they do offer multiple platform support, the other supported platforms lack the features of the main platform supported. The software applications available rely on a server to make contact with the client, then the client and server have an interactive session.

10 15 In the Macintosh space there is Apple's Remote Desktop application. This is a peer-to-peer application that provides file and folder transfer on a rotating basis. The transfers are done directly from the Administrative console. There is no scalability and reporting on the status of a transfer.

20 Also on the Macintosh is Netopia's NetOctopus. This application is also an Administrator based console, which provides many peer-to-peer functions including file and folder transfer and remote installations. This application does not scale beyond 150 clients for software distribution nor does it report on the status of a transfer nor does it monitor the installed software for future management.

25 In the Window's space there are many major products providing software distribution. Microsoft's SMS, Marimba, Novell's ZenWorks, Intel's LanDesk, OnTechnology's On Command, Altiris and Novadigm. The Microsoft, Marimba, Intel, On Technology and Altiris are Administrator console-based solutions. But these software distributions show said disadvantages as well.

30 Field of the Invention

35 The invention relates to automated software distribution method on a TCP/IP network with one central server, multiple mirror servers and many clients. The clients automatically check in with a server, obtain a list of software, then perform the required actions at the specified times.

Summary of the Invention

- It is an object of the invention to devise a method, software and a system that assist IT Departments to deliver and to manage software thereby saving the departments hundred of hours of their time and thousands of dollars of their budget.
- The invention uses a data model that allows for individual files to be delivered and managed. The files are stored in a FileSet. In general a FileSet represents a software application. The clients share common FileSet Lists, while each client maintains a Cache or a list of FileSets that are currently in a state of management.
- The invention uses a method whereby during a download of software, if a network connection is severed, the client will pickup with the download where it left off. In this way an application will not be activated before the sum of its parts is completely downloaded.
- The invention can scale out to support thousands of clients. In such a case the invention makes use of Boosters. A Booster is an application that connects to another Booster or the FileWave Server itself and that downloads files from the central server to the local network. Clients then connect to the Booster in the local network and download their files from the Booster. The clients have a fail safe mechanism whereby they connect to another Booster if the Booster of this local network is not available.
- The invention uses a method to capture the changes made to a disk during an installation. These changes are saved directly to the sever or indirectly to a hard disk for sharing with other servers.
- The invention uses a central administrative interface to interact with the server, clients and administrative functions. All downloads are performed by the clients and from the server to the clients. Downloads are never directly from the administrative console to the clients.
- It is another object of the present invention to devise a way to automate the installation of software and to maintain the state of the installation after the software is installed. Client software runs on each computer. The client software checks in with a central server if updates are available. If an update is available, the client first downloads a scheduled list of actions. Thereafter the client performs

the scheduled actions at the appropriate time and reports back to the server the status of the actions in the client.

- 5 The invention enables an IT department to save a tremendous amount on man-hours installing and upgrading software. Another benefit is, that the end users of the computer or client are given greater use of their machines because the latest and most productive configuration of software is installed in the client and maintained here after the installation.
- 10 These and other objects of the invention are achieved by the method defined in the characterizing clause of claim 1.

Brief description of the drawings

- 15 A more thorough understanding of the invention can be gained by reading of the following detailed description of the preferred embodiments in connection with the associated drawings, in which:
Fig 1 is an overview of the infrastructure or system showing the scalability of the present invention;
- 20 Fig. 2 shows schematically the client process;
Fig. 3 shows schematically the client verification process;
Fig. 4 shows schematically administrators snapshot process and
Fig. 5 shows schematically the administrators monitor client process.

25 Detailed description of the preferred embodiments

- In the following detailed description reference is made to the drawings to give specifics of how the invention works. The purpose of the description is to give those skilled in the art the ability to practice the invention. Other materials and processes may be used to sufficiently create an environment without departing from the scope of the present invention. The detailed description, which follows below, is therefore not to be taken in a limited sense. The invention will now be described with the drawings where like numbers represent like elements throughout the figures.

- 35 Systems, methods and devices consistent with the present invention enhance conventional methods of maintaining of the software on computers, i.e. clients connected to a TCP/IP network by automating software installations from at least one central server to a client or remote computer. The invention is

and by monitoring the downloaded software of each of the clients in the server or servers.

Fig. 1 is an overview of an arrangement, i.e. of hardware components, by aid of which the present method can be carried out. A basic configuration of this arrangement comprises a server 101, e.g. a FileWave Server, which can also be named central or main server. This server 101 comprises a relational database 105 of objects and a socket based file server 106. The basic configuration of said arrangement comprises also an administrator computer 104, e.g. a FileWave Administrator, which connects to the main server 101 through a socket and manipulates the database 105 in the main server 101. The administrator 104 is a console through which a person, who is managing the software to be downloaded, communicates with the server 101. Further, the basic configuration of said arrangement comprises client computers 102, which connect through a socket to the main server 101 and which can download their updates. All the links between 101, 102, 103 and 104 are advantageously through a TCP/IP interface.

One embodiment of the basic configuration of the present arrangement might consist of one server 101 in a network of e.g. about one hundred clients 102 connected to the network, and of one administrator 104, which is connected to the server 101. Said server 101 will consist of already said database 105 and of said file server 106. The administrator 104 will be an interface to manipulate the entries in the database 105 of the main server 101. Each client 102 will convert the changes made in the database 105 of the server 101 into local disk operations e.g. copying, moving and renaming files.

Fig. 1 shows also the scalability of the present invention. An enlarged configuration of said arrangement encompasses in this case at least one booster 103, e.g. a FileWave Booster. The booster 103 helps to download files to the clients 102. The booster 103 is a socket based file server, i.e. a file-storage device on a local area network that is accessible to all clients. The booster or the boosters 103 allow for the present invention to scale out for supporting thousands of clients 102 by mirroring the files contained on the main server 101.

The boosters 103 are strategically placed in networks where connectivity issues require such a local server 103 to be present. For example, in an international organization having access for multiple clients 102, the download of the same data from the central server 101 directly to each of said clients 102 is not efficient. A mirror or booster 103 of the central server 101 in each location of the network is an efficient way to share the common data among the clients 102 in each location.

- Generally, boosters 103 are deployed at remote offices to decrease network traffic on WAN lines (Wide Areas Network lines). Boosters 103 may also be deployed in a LAN (Local Area Network) when there are a significant number of clients 102 on the LAN. The booster 103 will always ensure that the client 102 receives the needed files by downloading and it will make sure that network traffic is kept to a minimum.
- For a particular case, a client 102 needs a number of like files. This number of like files is named a fileset. A fileset might be a program like Microsoft Office or an operating system like Mac OS 10.2. Usually, a client 102 is associated to a number of filesets. A list of such filesets for each client computer 102 can also be named a manifest. So that the manifest comprises among other things a list of the filesets that the respective client 102 is to perform actions on. The manifest contains also identification numbers of the filesets, version of the filesets and attributes associated with a fileset, such as activate, deactivate, passive and delete.
- The database 105 of the server 101 creates and maintains an individual list of filesets or a manifest for each client computer 102. The manifest is maintained in the database 105 of the server 101. After each published change or update to the model version made by the administrator 104, a new manifest is created for each client 102.
- To deliver a new application to the clients 102, the administrator 104 will add file records representing the new application into the database 105, i.e. into the repository, and associates these file records to the clients 102. After the administrator 104 has completed its work by updating the server and publishing the changes, the server 101 calculates for each client the filesets associated with the client and creates the client's manifest file. Next the server 101 calculates the contents of each fileset and saves the relevant information about each file (identifier number, name, creation date, modification date, comment, etc..) into the fileset container. If there are no changes to the fileset then the version number of the fileset remains the same. If changes were made to the fileset, then the version number of the fileset is increased by an ordinal number. There is exactly one fileset container for every fileset version on the server 101.

Fig. 2 shows schematically the process or operations in one client computer 102. The client process performs all the client side operations. It is responsible for downloading, activating, deactivating and deleting files.

Each of the clients 102 is periodically polling the server 101 looking to see in the repository 105 of the server 101 if a change in the model version of the manifest associated with this client 102 is available. Thereby the client 102 only the first 5 checks 201 for a new model version of its manifest in the server 101 or 103. This check is based on the comparison of the ordinal numerals which are associated with the model version of the manifest on the server 101 or 103 and with the client 10 version of the manifest. If these ordinal numerals are the same, no change in the software on this client computer 102 is to be carried out. If said ordinal numerals are different, then the client 102 knows that the server 101 comprises a changed 10 manifest. This new model version of the manifest is to be downloaded 202 to the client 102.

The downloaded fileset list or manifest is compared 204 to the last fileset list or manifest the client 102 has. The appropriate local operations are scheduled based 15 on a delta 204 of the two lists. The scheduled fileset operations are then stored 205 in cache. The local operations are performed 206. Finally, the client 102 reports back 207 to the server 101 or 103 the new version of the local model 20 version of the manifest. In this manner the processing load of the server is distributed to each client making a type of Distributed Computing.

A second scenario might be a network of e.g. one thousand of clients 102 where 20 the administrator 104 needs to deliver a new application to only fifty of these clients 102. In this scenario the administrator 104 will add file records representing a new 25 or another application in the database 105 to the said fifty clients 102 that are to receive this new application. When the administrator 104 has completed the changes in the repository 105, the administrator 104 updates the server 101 and therefore steps by one the ordinal numeral the manifests associated with all clients 102 maintained on the central server 101. The thousand clients 102 will poll the 30 server 101. The nine hundred and fifty clients 102 without changes will compare the versions of each fileset container in their manifest and the client 102 will determine no changes have been made to their manifest and consequently they will not perform local operations, e.g. local disk operations. Said fifty clients 102, which have to receive the new application, will download their manifest, compare 35 the fileset versions, determine a new fileset was added to their manifest and then schedule their file operations, i.e. their downloads, perform the file operations at the scheduled time and activate the new application. This scenario shows the flexibility of the invention in that only the clients 102 assigned to the new file records perform local operations.

Another scenario might be a worldwide network of one thousand computers 102 that needs e.g. a mission critical application activated at an exact time. Some of these clients 102, as shown in Fig. 1, are connected to the main server 101 through one or more boosters 103. The administrator 104 adds the file records creating said fileset on the server 101 and associates the fileset to all one thousand clients 102. Finally the administrator updates the server 101 which causes new manifests with new model version to be created. The clients poll the server, see the new manifest files and process their manifest. At the scheduled time from the manifest, the clients 102 connected to one of the boosters 103 will request to download the files from the local booster 103 rather than from the master server 101. Finally at the exact time, the just downloaded applications will be activated. In this way the distribution is scaled and the clients 102 download the file records from the local booster 103 rather than accessing the master server 101 over the entire network. Therefrom results an increasing speed, reliability and bandwidth when downloading applications.

The above scenarios are exemplary and should not be construed in a limiting sense. One skilled in the art will appreciate the present invention will have a variety of implementations not limited to the ones previously described.

When communicating, the clients 102 and the servers 101 and 103 make use of a special protocol. This protocol allows for the clients 102 to resume downloading of a file in case the connection to a server 101 or 103 is broken. The client 102 initiates the communication with a server 101 or 103. If at any time the client 102 or the repository 105 does not respond to a transaction demand, then the client 102 will go into a disconnected state. If this communication is severed said protocol makes it possible to reestablish the communication later with no adverse effect to the clients 102 or servers 101 or 103. When a connection is established again, the client 102 will start with the last transaction till all the transactions are complete.

When initiating the communication with a server 101 or 103, the client 102 builds a packet with a transaction identifier. This transaction identifier represents the type of information to be transferred between the client 102 and the server 101 or 103.

The most commonly used transaction files are: Logon, to open a connection to the server 101, Status, to check the model version in the server 101 related to the respective client 102, Read, to copy or download data from the server 101 to the client and Update, to send the status of the local model version present in the client 102 to the server 101.

- Network operations are performed automatically by the client 102 starting with an attempt to logon to the repository 105 of the server 101 or 103. If a logon cannot be established, the client 102 automatically retries and waits until a connection to the server 101 or 103 can be established. Once a connection to the server 101 or 103 is established, the client 102 checks his model version in the repository 105 by sending his status transaction packet to the server 101 or 103. When the model version in the repository 105 of the server 101 or 103 is different from the local model version sent from the certain client 102, this client 102 requests at the server 101 or 103 a read transaction to download a manifest from the repository 105.
- The client 102 has further a scheduling feature where files that are members of the same fileset (like files that make up an application) are all scheduled for actions at the same time. This assures the complete application is scheduled.
- Some of the client side scheduled actions can be executed by the client 102 independently of a network connection to the server 101 or 103. This execution is applicable only for the client side actions to activate, deactivate and to delete. Copy actions by nature require a connection to a server 101 or 103.
- FileWave™ FileSet Magic**
A further application belonging to this invention creates a snapshot and a comparison to find changes made on a hard disk. In this way the files installed by an installer can be found. The changes to the hard disk are saved in a fileset. The fileset is saved directly to the server 101 or saved locally for import to the server 101 at a later time. This allows for sharing of fileset among the community of FileWave administrators.
- The present method encompasses also an application which creates and modifies a preference file. This preference file is then distributed to the clients where it will only override the preferences specified in the SuperPrefs file, the individual preferences of the client will remain in tact.
- The present method encompasses further an application which creates rule files. These rules specify files and folder that are to be removed or retained on a client computer.
- A further application belonging to the present method allows for real time remote access to a client 102. Supported features are disk drive browsing, status information, preference access, system information reporting and diagnostics for the client process 102.

Claims

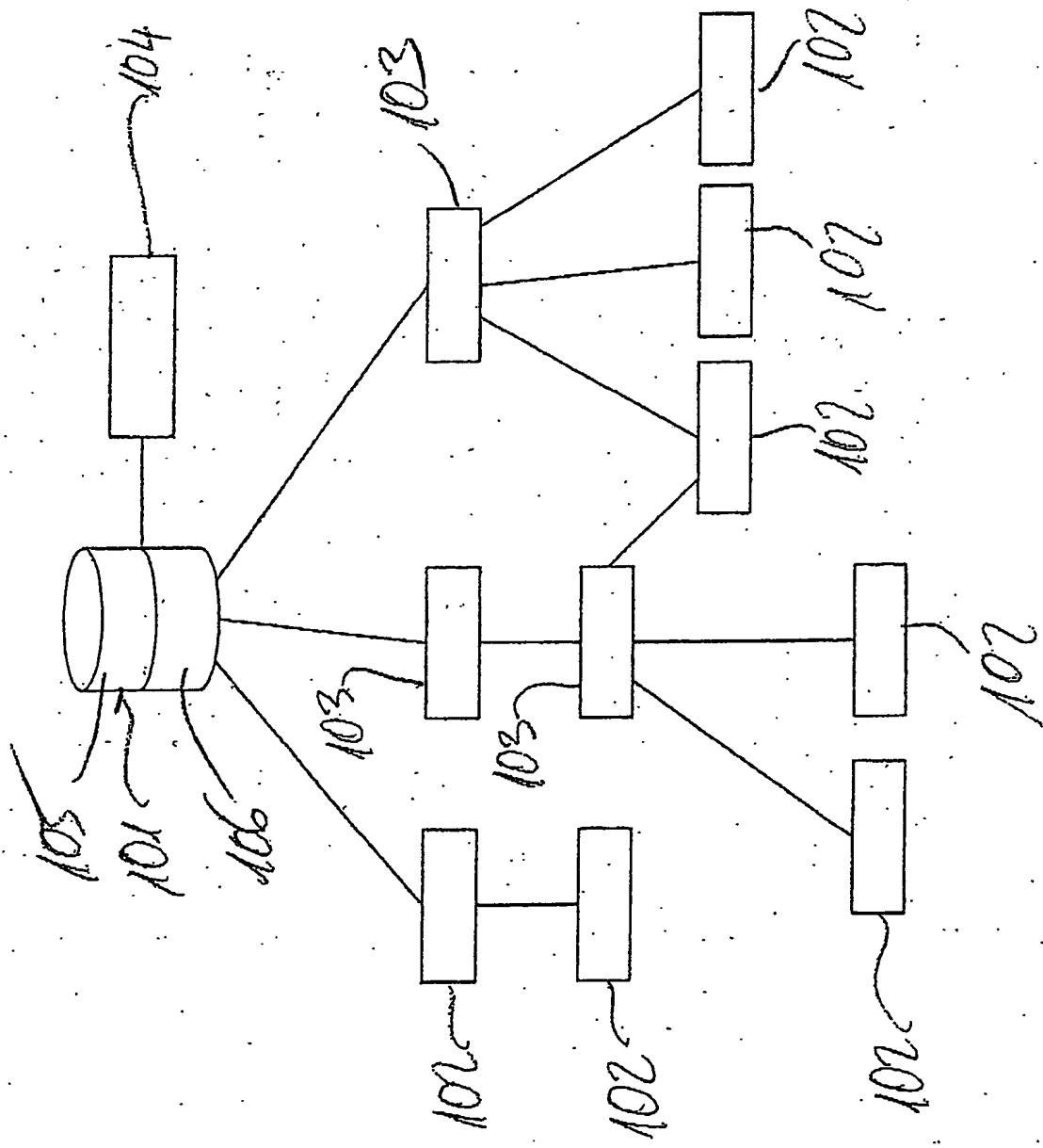
1. A method in a network of the delivery of files from a server computer to a client computer, characterized in that the client computer is polling the server computer looking to see in the server if at least one new file associated with this client computer is available and wherein the client computer is downloading the files associated with this client computer at specific intervals.
2. The method as claimed in claim 1, wherein the client computer is all by itself polling the server computer at specific intervals and wherein the client computer itself controls the downloading of the updates which occurs at times during which the downloading does not disturb the working of the client computer.
3. The method as claimed in claim 1, wherein a specific manifest for each client computer is created in the server computer, wherein a model version of this manifest is maintained in the server computer, wherein the respective client computer downloads its model version of the manifest to the client computer upon the client computer recognizing a model version change and wherein the files are downloaded, activated, deactivated and deleted in the client computer at specific times.
4. The method as claimed in claim 3, wherein to deliver a new application or file to a client, an administrator computer adds file records representing the new application, i.e. a new fileset, into the database of the server computer and associates these file records to a specific client computer, wherein the server computer calculates a new manifest for each client computer.
5. The method as claimed in claim 1, wherein the client computer compares the downloaded model version of the manifest to the manifest already present in the client computer, wherein the client computer computes a delta on each fileset list under management upon downloading the manifest, so that difference between the newly received and already present manifest is established in the client computer and wherein appropriate local operations in the computer are performed immediately based on said delta, so that the client computer downloads and activates or deletes files immediately based on the delta of the fileset list, and wherein the client computer reports back to the server computer the receipt of the update and the changes carried out in the client computer, so that the state of the files on the client computer or computers is automatically transmitted to and from the server computer.

6. The method as claimed in claim 5, wherein the client computer stores the scheduled actions in a cache file, wherein this cache file contains a schedule of actions to perform as well as the status of each action and wherein the client computer executes the scheduled actions at the time specified by the client's schedule section of its cache.
- 5
7. The method as claimed in claim 6, wherein the client computer checks the state of each filesset in its cache at a specified time interval by comparing the state returned from the file system of the operating system to the state stored in the cache.
- 10
8. The method as claimed in claim 7, wherein the checksum of each file is read from the filesset list and wherein the file is downloaded and activated thereby maintaining a steady state of all the filessets in the cache, if the checksum contained in the filesset list is different from the checksum computed in real time from the operating system.
- 15
9. The method as claimed in claim 8, wherein the format of the files saved to the local disk in the client computer contains all the information needed to copy the files to the server so that the files will contain the proper information when they are delivered to the client.
- 20
10. The method as claimed in claim 5, wherein upon downloading the manifest the client computer is reading its manifest and wherein an entire filesset is scheduled for download at the time specified in the manifest, if the filesset did not exist in the previous manifest.
- 25
11. The method as claimed in claim 5, wherein the respective client computer has a preference that directs the client computer to check in with the server computer for a change in the model version on the server computer at a specific time interval of an interactive session and wherein the client computer will retry checking in with the server computer its specific manifest from the model version at the next specific time interval, if the server computer is not available when the client computer checks in.
- 30
12. The method as claimed in claim 5, wherein the status of the action is sent back to the server computer, where the administrator can view the status of this action and wherein the administrator computer creates reports on the status of multiple clients.
- 35

40

13. The method as claimed in claim 12, wherein the administrator computer creates a snapshot of a hard disk in the administrator computer, wherein a snapshot catalog of all the files on the disk in the administrator computer stores the files in a list written to this local disk, wherein the administrator computer alters the content of the hard disk in the administrator computer by running an installer or copying files to the disk, wherein a second snapshot is taken, wherein a delta of the first snapshot and the second snapshot is displayed to the administrator computer and wherein the administrator computer then chooses the files added or modified that are to be included in a filesset associated with a specific client computer.
14. The method as claimed in claim 13, wherein the delta of the snapshot can be saved to the server computer or to the local disk in the administrator computer.
15. The method as claimed in claim 5, wherein the client computer process is monitored in such a way that it reports the real-time status of the client computer from the administrator interface, wherein the connection to the client process is through a TCP/IP socket and wherein the information about the status is encapsulated with the TCP/IP packets.
16. The method as claimed in claim 5, wherein the network is designed in such a way that it in no way adversely effects the client computers or the server computer, if the client computer or server computer being off.

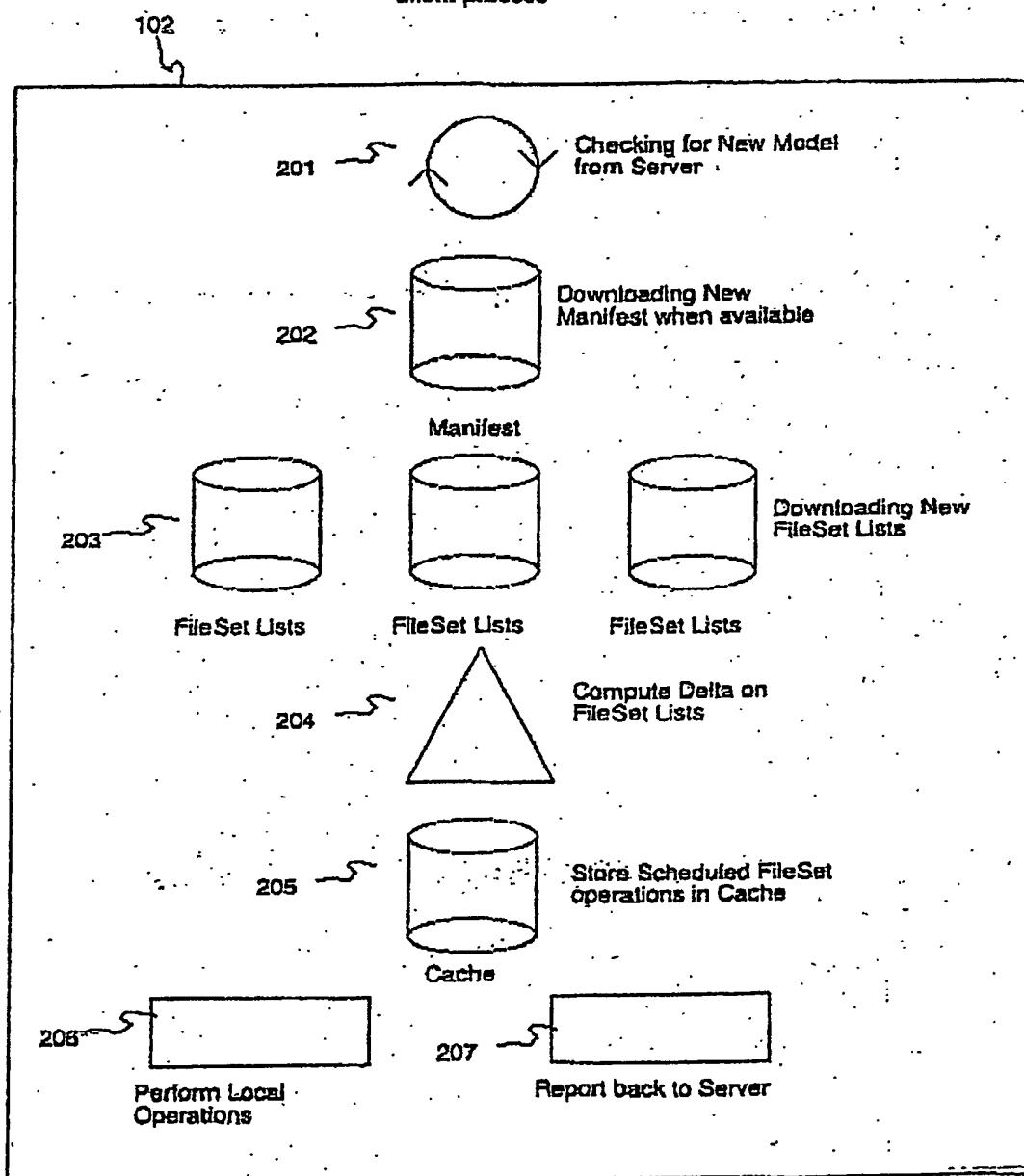
Fig. 1



2/5

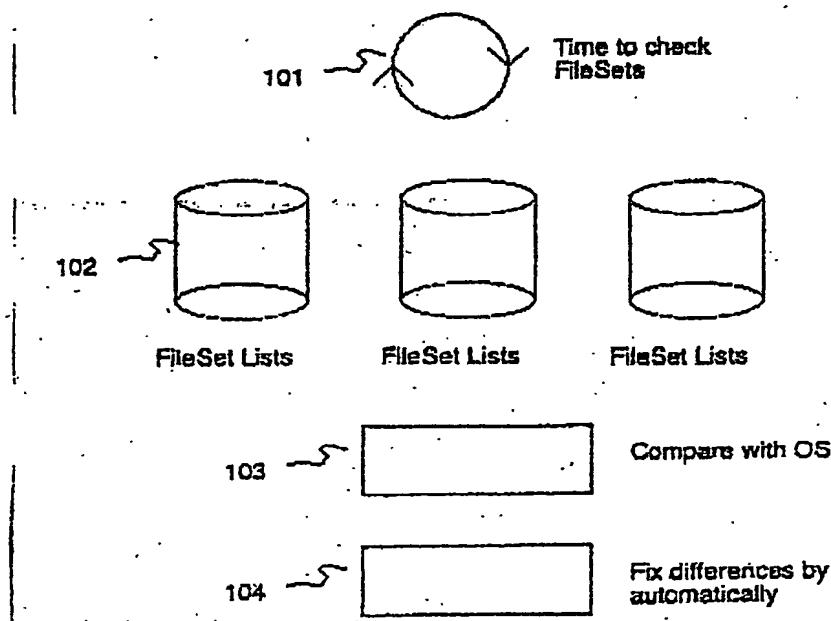
Figure 2

Client process



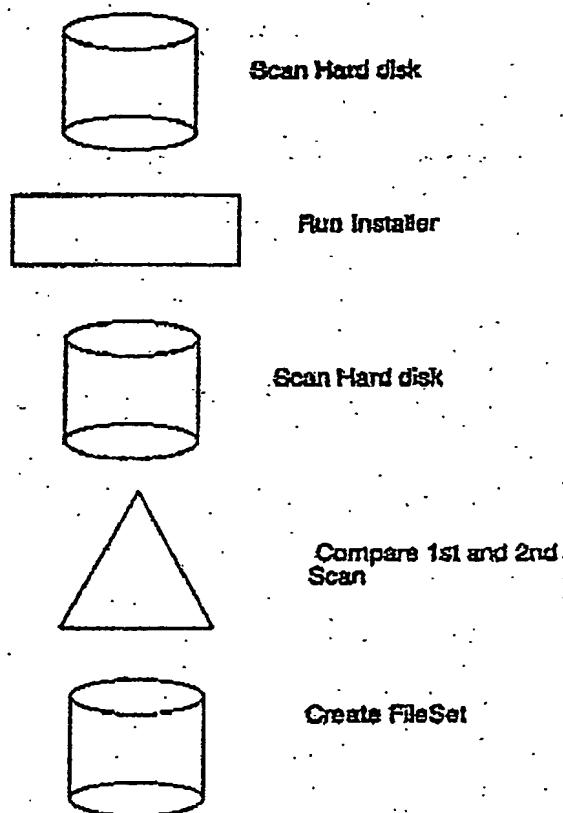
3/5

Figure 3
Client Verification Process



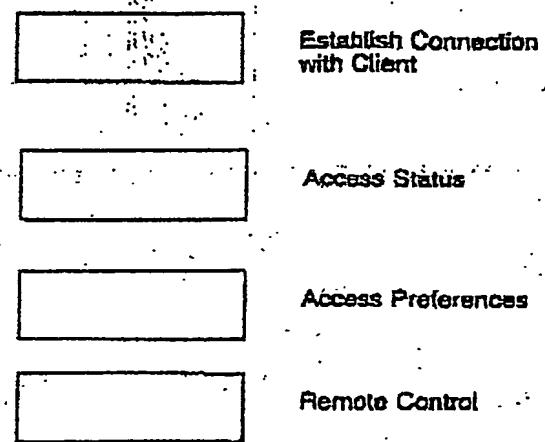
4/5

Figure 4
Admin Snapshot Process



5/5

Figure 5
Admin Monitor Client Process



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